

Sectar  
PATENT  
H 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:

Akihiro NITAYAMA, et al.

Serial No: 09/660,390

Filed: September 12, 2000



BOX: MISSING PARTS

Group Art No. TBA

Examiner: TBA

Docket No. 00629.00002

For: SEMICONDUCTOR MEMORY DEVICE AND METHOD OF MANUFACTURING THE SAME

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), dated November 29, 2000, Applicants submit herewith the statutory basic filing fee of \$710.00, the missing parts surcharge of \$130.00, the additional claims fee of \$400.00, the Assignment Recordation Fee of \$40.00, the one-month extension of time fee of \$110.00, a copy of the Notice to File Missing Parts of Nonprovisional Application, the executed Declaration/Power of Attorney, Assignment with Cover Sheet and English translation of specification. The period for responding to the Notice to File Missing Parts of Nonprovisional Application expired on January 29, 2001, and thus the one-month extension of time has been added. The fees are calculated as follows:

Basic Filing Fee	\$710.00
Total Claims = 17 In Excess of 20 = 0 x 18.00	\$0.00
Independent Claims = 8 In Excess of 3 = 5 x 80.00	\$400.00
Surcharge for submitting the filing fee and Declaration subsequent to the filing date	\$130.00
Assignment Recordation Fee	\$40.00
One-Month Extension of Time Fee	\$110.00
Total Fees	\$1,390.00

02/26/2001 NYUSF1 0000152190733 09660390  
04 FC:115 110.00 115  
04 FC:115 110.00 115

Akihiro NITAYAMA, *et al.*  
U.S. Patent Application Serial No. 09/660,390

It is believed that all Patent and Trademark Office requirements have now been fully met and it is respectfully requested that the above-identified patent application be forwarded for examination.

Please charge any additional fee which may be associated with the filing of this paper to our Deposit Account No. 19-0733.

Respectfully submitted,

By: CR Gomboch  
Joseph M. Potenza  
Reg. No. 28,175      B#58,800

BANNER & WITCOFF, LTD.  
Eleventh Floor  
1001 G Street, N.W.  
Washington, D.C. 20001  
(202) 508-9100

Dated: Feb 20, 2001



**UNITED STATES PATENT AND TRADEMARK OFFICE**

HJ

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/660,390	09/12/2000	Akihiro Nitayama	00629.00002

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001



**FORMALITIES LETTER**



\*OC000000005583951\*

Date Mailed: 11/29/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 820.**

*Customer Service Center*

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

02/26/2001	INVISFILE	000000052190733	09660390
01	FL:101	01	FL:101
02	FL:102	02	FL:102
03	FL:103	03	FL:103